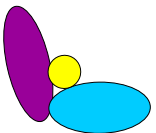
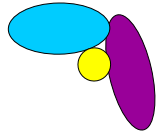


# IMPLICIT TIMESTEPPING METHODS

- Discontinuous Deformation Analysis
- Contact Dynamics



# OVERVIEW OF DEM SOFTWARES



## Quasi-static methods

← *an equilibrium state is searched for*

From an initial approximation of the equilibrium state searched for, the displacements  $\mathbf{u}$  are to be determined taking the system to the equilibrium (assumption: time-independent behaviour, zero accelerations!!!)

$$\mathbf{K} \cdot \Delta \mathbf{u} + \mathbf{f} = \mathbf{0}$$

- Kishino, 1988
  - Bagi-Bojtár, 1991
- } *circular, perfectly rigid elements, deformable contacts*

## Time-stepping methods    $\mathbf{M} \cdot \mathbf{a}(t) = \mathbf{f}(t, \mathbf{u}(t), \mathbf{v}(t))$ ← *a process in time is searched for*

simulate the motion of the system along small, but finite  $\Delta t$  timesteps

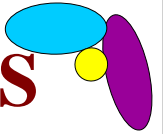
### Explicit timestepping methods:

- UDEC    *deformable polyhedral elements, deformable contacts*
- BALL-type models, e.g. PFC    *rigid elements, deformable contacts*

### Implicit timestepping methods:

- DDA („Discontinuous Deformation Analysis”)    *deformable polyhedral elements*
- contact dynamics models    *rigid elements, non-deformable contacts*

# DISCONTINUOUS DEFORMATION ANALYSIS



„DDA”: Gen-Hua Shi (1988), Berkeley  
then many others applied or developed

**research softwares!!!**

1. The elements: The unknowns and the reduced loads
2. Contacts
3. The equations of motion: Potential energy minimization
4. Numerical solution of the equations of motion

Applications



# DISCONTINUOUS DEFORMATION ANALYSIS

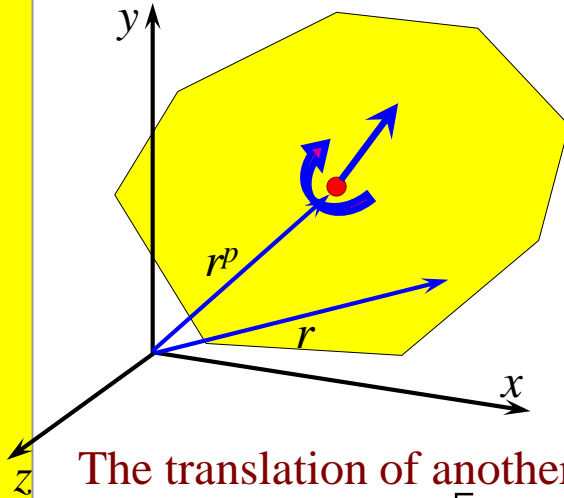
## Repetition:

1. The elements: polyhedral [Deformable without subdivision]

displacement vector of the  $p$ -th element:

(reference point;  
rigid-body translation and rotation;  
the uniform strain of the element)

$$\mathbf{u}^p = \begin{bmatrix} u_x^p \\ u_y^p \\ u_z^p \\ \varphi_x^p \\ \varphi_y^p \\ \varphi_z^p \\ \varepsilon_x^p \\ \varepsilon_y^p \\ \varepsilon_z^p \\ \gamma_{yz}^p \\ \gamma_{zx}^p \\ \gamma_{xy}^p \end{bmatrix}$$

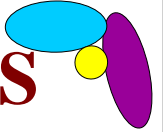


The translation of another point in the element in e.g. in 2D:

$$\begin{bmatrix} u_x(x, y) \\ u_y(x, y) \end{bmatrix} = \begin{bmatrix} 1 & 0 & -(y - y^p) & (x - x^p) & 0 & \frac{(y - y^p)}{2} \\ 0 & 1 & (x - x^p) & 0 & (y - y^p) & \frac{(x - x^p)}{2} \end{bmatrix} \begin{bmatrix} u_x^p \\ u_y^p \\ \varphi_z^p \\ \varepsilon_x^p \\ \varepsilon_y^p \\ \gamma_{xy}^p \end{bmatrix}$$

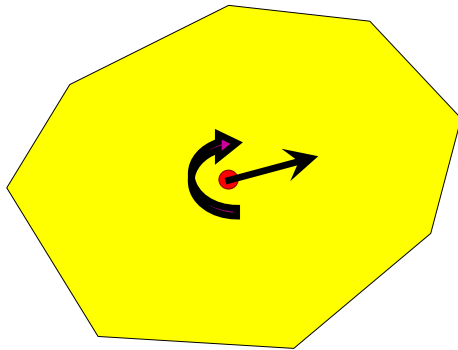
in 3D:  $\mathbf{u}(x, y, z) = \mathbf{T}(x, y, z) \cdot \mathbf{u}^p$

# DISCONTINUOUS DEFORMATION ANALYSIS



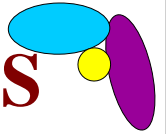
**Repetition:**

„reduced load” belonging to the  $p$ -th element:



$$\mathbf{f}^p = \begin{bmatrix} f_x^p \\ f_y^p \\ f_z^p \\ m_x^p \\ m_y^p \\ m_z^p \\ V^p \sigma_x^p \\ V^p \sigma_y^p \\ V^p \sigma_z^p \\ V^p \tau_{yz}^p \\ V^p \tau_{zx}^p \\ V^p \tau_{xy}^p \end{bmatrix}$$

# DISCONTINUOUS DEFORMATION ANALYSIS



How to reduce a force acting at  $(x, y)$  to the reference point of the element:

e.g. in 2D:

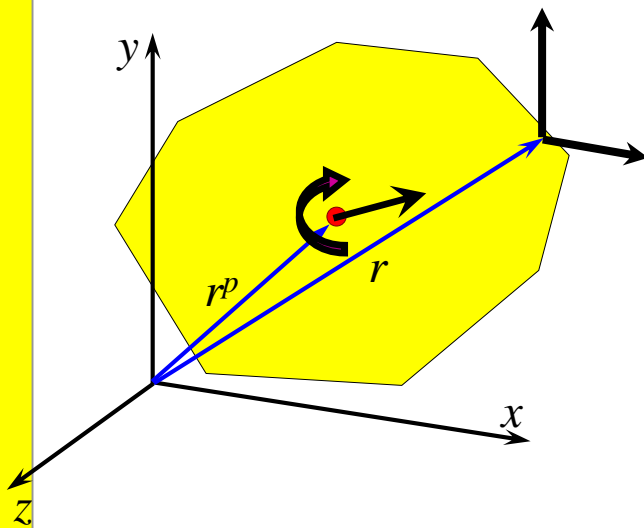


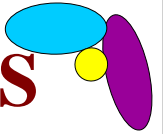
Illustration of its meaning:

$$\mathbf{f}^p = \begin{bmatrix} f_x^p \\ f_y^p \\ m_z^p \\ A^p \sigma_x^p \\ A^p \sigma_y^p \\ A^p \tau_{xy}^p \end{bmatrix}$$

$$\Leftrightarrow \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ -(y - y^p) & (x - x^p) \\ (x - x^p) & 0 \\ 0 & (y - y^p) \\ \frac{(y - y^p)}{2} & \frac{(x - x^p)}{2} \end{bmatrix} \begin{bmatrix} f_x(x, y) \\ f_y(x, y) \end{bmatrix} =$$

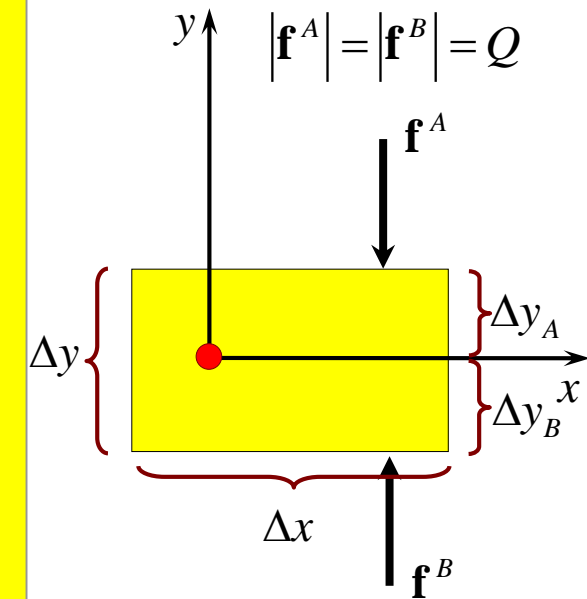
$$= \mathbf{T}^T(x, y) \cdot \mathbf{f}(x, y)$$

# DISCONTINUOUS DEFORMATION ANALYSIS



How to reduce a force acting at  $(x, y)$  to the reference point of the element:

e.g. in 2D:



$$\mathbf{f}^A = \begin{bmatrix} 0 \\ -Q \end{bmatrix}; \quad \mathbf{f}^B = \begin{bmatrix} 0 \\ Q \end{bmatrix}$$

$$y^A - y^p = \Delta y_A; \quad y^B - y^p = -\Delta y_B$$

$$\mathbf{f}^p = \begin{bmatrix} f_x^p \\ f_y^p \\ m_z^p \\ A^p \sigma_x^p \\ A^p \sigma_y^p \\ A^p \tau_{xy}^p \end{bmatrix}$$

$$\Leftrightarrow \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ -(y - y^p) & (x - x^p) \\ (x - x^p) & 0 \\ 0 & (y - y^p) \\ \frac{(y - y^p)}{2} & \frac{(x - x^p)}{2} \end{bmatrix} \begin{bmatrix} f_x(x, y) \\ f_y(x, y) \end{bmatrix} =$$

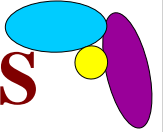
0

$$\left\{ \begin{array}{l} A^p \sigma_x^p = 0 \quad A^p \tau_{xy}^p = (x^A - x^p)/2 \cdot f_y^A + (x^B - x^p)/2 \cdot f_y^B = 0 \\ A^p \sigma_y^p = (y^A - y^p) \cdot f_y^A + (y^B - y^p) \cdot f_y^B = \\ = \Delta y_A \cdot (-Q) + (-\Delta y_B) \cdot Q = -(\Delta y_A + \Delta y_B) \cdot Q = -\Delta y \cdot Q \end{array} \right.$$

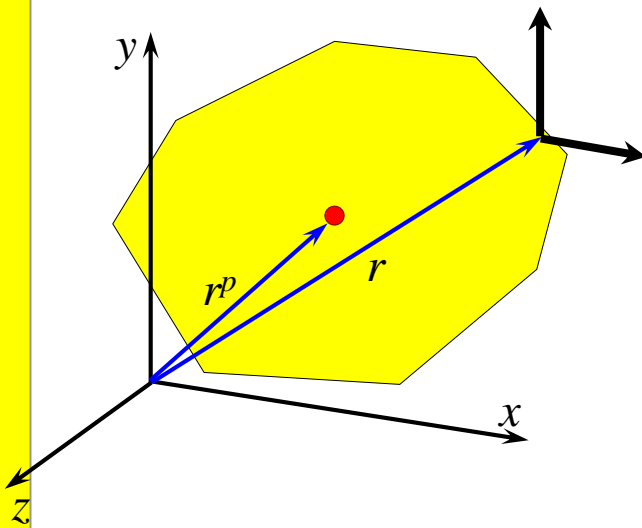
$$\Delta y \cdot \Delta x \cdot \sigma_y^p = -\Delta y \cdot Q$$

$$\sigma_y^p = -\frac{Q}{\Delta x}$$

# DISCONTINUOUS DEFORMATION ANALYSIS



How to reduce a force acting at  $(x, y)$  to the reference point of the element:

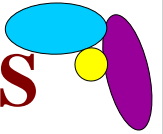


the reduced force in 3D:  $\mathbf{T}^T(x, y, z) \cdot \mathbf{f}(x, y, z)$

Remark: Higher-order polynomials also possible! [strains/stresses]  
(e.g: M. MacLaughlin)



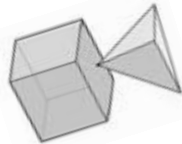
# DISCONTINUOUS DEFORMATION ANALYSIS



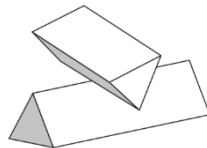
2. The contacts: (material point) with (material point)

in 2D: Node – to – Edge contacts

in 3D: Node – to – Face contacts:



Edge – to – Edge contacts:



→ „first entrance position”

⇒ contact deformation:  $\Delta u_N; \Delta u_T$   
normal & tangential, perhaps sliding

→ direction of the contact:

the normal vector of the face

???? for edge-to-edge contact

Mechanical model:

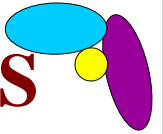
→ originally: infinitely rigid contacts, Coulomb-friction

→ recent codes: deformable contacts included

+ other friction conditions, cohesion etc.

Remark: — infinitely rigid contact: „penalty function”:  $F_N = k_N \Delta u_N; dF_T = k_T d(\Delta u_T)$   
≡ linearly elastic in normal and in tangential directions

# DISCONTINUOUS DEFORMATION ANALYSIS



↓ more exactly: „Hamilton principle”

## 3. The equations of motion: „Potential energy” stationarity principle

„Potential” of the system:

$$\Pi = \Pi^{blocks} + \Pi^{contacts}$$

$$\boxed{\frac{\partial \Pi}{\partial u_i^p} = 0} \quad \text{for all } p, i$$

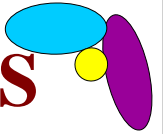
deformed springs  
 external pot.  
 strain energy  
 inertial forces  
 velocity-proportional damping  
 initial stress  
 prescribed displacement history

$$\mathbf{M} \cdot \mathbf{a}(t) + \mathbf{C} \cdot \mathbf{v}(t) + \mathbf{K} \cdot \mathbf{u}(t) = \mathbf{f}^{ext}(t, \mathbf{v}(t), \mathbf{u}(t))$$

generalized displacement increment  
 stiffness matrix + etc  
 damping + etc  
 inertia

or:  $\boxed{\mathbf{M} \cdot \mathbf{a}(t) = \mathbf{f}(t, \mathbf{u}(t), \mathbf{v}(t))}$

# DISCONTINUOUS DEFORMATION ANALYSIS



## 4. Numerical solution of the equations of motion:

$(t_i, t_{i+1})$  time interval:

at  $t_i$  : known  $\mathbf{u}_i, \mathbf{v}_i, \mathbf{f}(t_i, \mathbf{u}_i, \mathbf{v}_i)$ ; satisfy the eqs. of motion

Find  $\mathbf{u}_{i+1}, \mathbf{v}_{i+1}, \mathbf{a}_{i+1}$  so that the eqs of motion would be satisfied at  $t_{i+1}$

$$\mathbf{r}(t_{i+1}, \mathbf{u}_{i+1}, \mathbf{v}_{i+1}) = \mathbf{f}(t_{i+1}, \mathbf{u}_{i+1}, \mathbf{v}_{i+1}) - \mathbf{M} \cdot \mathbf{a}_{i+1} = 0$$

Remember: Newmark's  $\beta$ -method:

[stability:  $2\beta \geq \gamma \geq 1/2$ ]

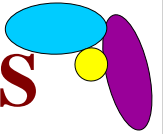
$$\begin{aligned} \mathbf{u}_{i+1} &= \mathbf{u}_i + \Delta t \cdot \mathbf{v}_i + \frac{\Delta t^2}{2} [(1-2\beta)\mathbf{a}_i + 2\beta \cdot \mathbf{a}_{i+1}] \\ \mathbf{v}_{i+1} &:= \mathbf{v}_i + (1-\gamma) \cdot \Delta t \cdot \mathbf{a}_i + \gamma \cdot \Delta t \cdot \mathbf{a}_{i+1} \end{aligned}$$

DDA: Newmark's  $\beta$ -method, with  $\beta = 1/2$ ;  $\gamma = 1$  :

$$\text{let } \left\{ \begin{aligned} \Delta \mathbf{u}_{i+1} &= \mathbf{u}_{i+1} - \mathbf{u}_i \\ \mathbf{a}_{i+1} &= \frac{1}{\Delta t^2 / 2} (\Delta \mathbf{u}_{i+1} - \Delta t \cdot \mathbf{v}_i) \\ \mathbf{v}_{i+1} &= \mathbf{v}_i + \Delta t \cdot \mathbf{a}_{i+1} = \mathbf{v}_i + \frac{2}{\Delta t} (\Delta \mathbf{u}_{i+1} - \Delta t \cdot \mathbf{v}_i) = \frac{2}{\Delta t} \Delta \mathbf{u}_{i+1} - \mathbf{v}_i \end{aligned} \right.$$

$$\begin{aligned} \mathbf{u}_{i+1} &= \mathbf{u}_i + \Delta t \cdot \mathbf{v}_i + \frac{\Delta t^2}{2} \mathbf{a}_{i+1} \\ \mathbf{v}_{i+1} &:= \mathbf{v}_i + \Delta t \cdot \mathbf{a}_{i+1} \end{aligned}$$

# DISCONTINUOUS DEFORMATION ANALYSIS



## 4. Numerical solution of the equations of motion :

$$\mathbf{M} \cdot \mathbf{a}(t) = \mathbf{f}(t, \mathbf{u}(t), \mathbf{v}(t)) \Rightarrow \mathbf{0} = \mathbf{f}(t_{i+1}, \mathbf{u}_{i+1}(\Delta \mathbf{u}_{i+1}), \mathbf{v}_{i+1}(\Delta \mathbf{u}_{i+1})) - \mathbf{M} \cdot \mathbf{a}_{i+1}(\Delta \mathbf{u}_{i+1})$$

Determine  $\Delta \mathbf{u}_{i+1}$ , so that the residual

$$\mathbf{r}(t_{i+1}, \Delta \mathbf{u}_{i+1}) = \mathbf{f}(t_{i+1}, \mathbf{u}_{i+1}(\Delta \mathbf{u}_{i+1}), \mathbf{v}_{i+1}(\Delta \mathbf{u}_{i+1})) - \mathbf{M} \cdot \mathbf{a}_{i+1}(\Delta \mathbf{u}_{i+1})$$

would be sufficiently close to zero!

Newton-Raphson:

the Jacobian of the residual:  $\mathcal{K}(t, \Delta \mathbf{u}) = \frac{d\mathbf{r}(t, \Delta \mathbf{u})}{d\Delta \mathbf{u}}$

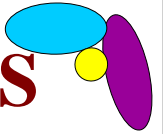
this matrix can be compiled from elementary calculations at  $t_i$ :

- ← contains the stiffness matrix
- ← contains the inertia, contact forces,  
geometric characteristics etc.

the residual can also be compiled from elementary calculations at  $t_i$ :

- ← contains the external forces, inertia effects,  
prescribed displacements, damping etc.

# DISCONTINUOUS DEFORMATION ANALYSIS



## 4. Numerical solution of the equations of motion :

$$\mathbf{M} \cdot \mathbf{a}(t) = \mathbf{f}(t, \mathbf{u}(t), \mathbf{v}(t)) \Rightarrow \mathbf{0} = \mathbf{f}(t_{i+1}, \mathbf{u}_{i+1}(\Delta \mathbf{u}_{i+1}, \mathbf{v}_{i+1}(\Delta \mathbf{u}_{i+1})) - \mathbf{M} \cdot \mathbf{a}_{i+1}(\Delta \mathbf{u}_{i+1})$$

$$\mathbf{r}(t_{i+1}, \Delta \mathbf{u}_{i+1}) = \mathbf{f}(t_{i+1}, \mathbf{u}_{i+1}(\Delta \mathbf{u}_{i+1}), \mathbf{v}_{i+1}(\Delta \mathbf{u}_{i+1})) - \mathbf{M} \cdot \mathbf{a}_{i+1}(\Delta \mathbf{u}_{i+1})$$

$$\mathcal{K}(t, \Delta \mathbf{u}) = \frac{d\mathbf{r}(t, \Delta \mathbf{u})}{d\Delta \mathbf{u}}$$

Analysis of a time interval:

initial estimation for  $\Delta \mathbf{u}_{i+1}$  :  $\Delta \mathbf{u}_{i+1}^{(0)} := \mathbf{0}$

$k+1$ -th estimation for  $\Delta \mathbf{u}_{i+1}$  :  $\Delta \mathbf{u}_{i+1}^{(k+1)} := \Delta \mathbf{u}_{i+1}^{(k)} - \mathcal{K}(t_{i+1}, \Delta \mathbf{u}_{i+1}^{(k)})^{-1} \cdot \mathbf{r}(t_{i+1}, \Delta \mathbf{u}_{i+1}^{(k)})$

then continue until  $|\mathbf{r}(t_{i+1}, \Delta \mathbf{u}_{i+1}^{k+1})|$  becomes sufficiently small

„Open – close iterations”: at the end of  $\Delta t$ : **check** the topology and the forces;

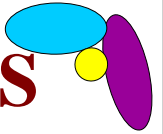
→ **modify the topology** if necessary (e.g. new contacts, sliding, contact loss)

→ with the new topology, **repeat**: Newton-Raphson to find another  $\Delta \mathbf{u}_{i+1}$

if acceptable topology not found: **decrease timestep**  $\Delta t$  to 1/3 of its previous length

## CONVERGENCE WITHIN A TIME STEP ???

# DISCONTINUOUS DEFORMATION ANALYSIS



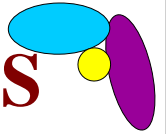
Comparison to UDEC:

Main differences from UDEC:

- basic unknowns: also the components of  $\epsilon$  ;
- uniform stress and strain field inside the elements;
- numerical integration: implicit
- stiffness matrix included  $\Rightarrow$  artificial damping not necessary

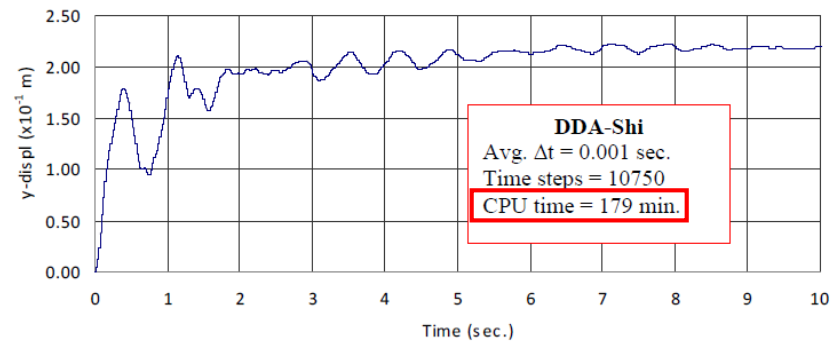
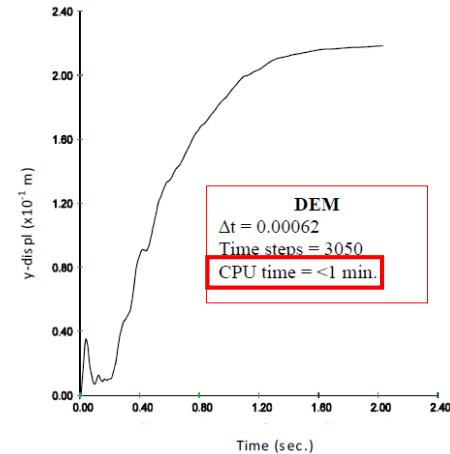
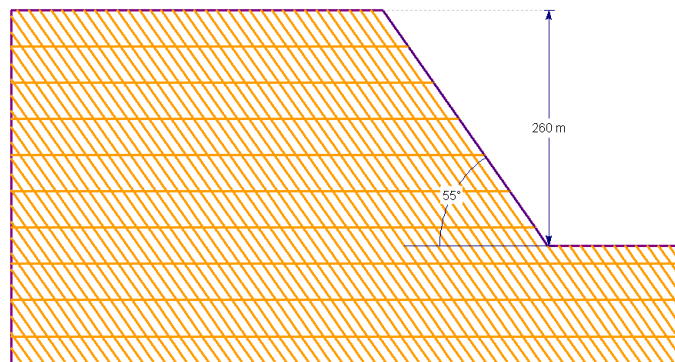
- advantages to UDEC: → implicit  $\Rightarrow$  numerical stability;  
fast convergence if topology does not change  
no artificial damping required
- disadvantages: no commercial software  $\Rightarrow$  inconvenient  
(several **research codes**; e.g. ask from Gen-Hua Shi)  
**too simple** mechanics of the elements and of the contacts  
large **storage** requirements & **longer** computations  
**open-close iterations**: convergence is not ensured if topology changes

# DISCONTINUOUS DEFORMATION ANALYSIS



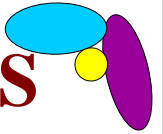
Remark 1:

Comparison to UDEC:  
M.S. Kahn (2010)



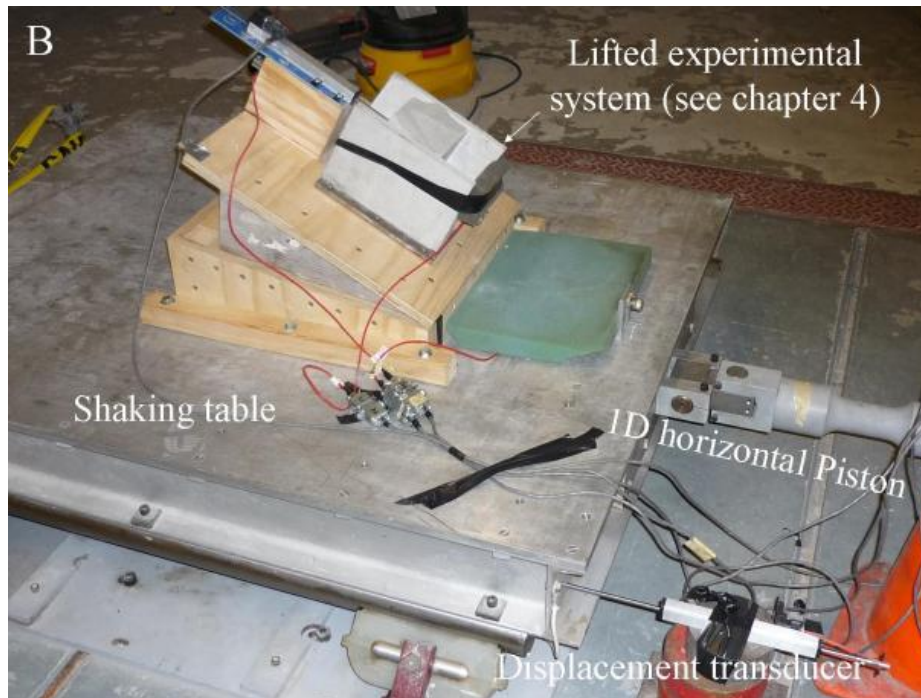
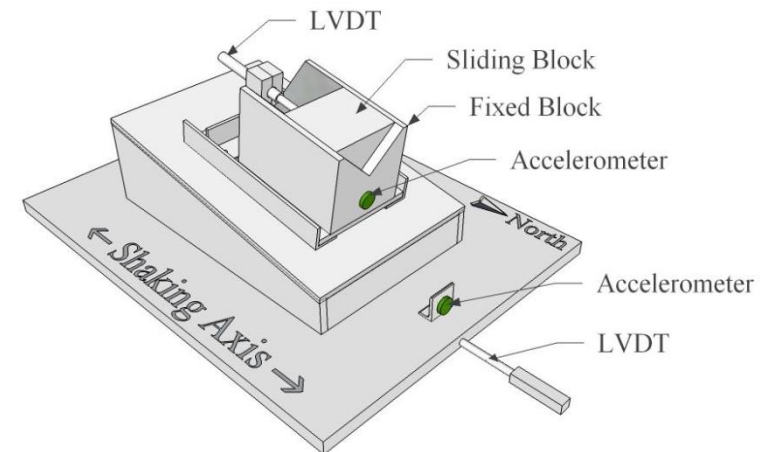
**NOT EFFICIENT IN CASES IF  
SIGNIFICANT TOPOLOGY MODIFICATIONS OCCUR !!!**

# DISCONTINUOUS DEFORMATION ANALYSIS



Remark 2: Importance of experimentally verified material parameters

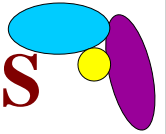
e.g. D.B. Mazor (2011): in DDA-3D,  
Aim: Simulate shaking table experiments  
What is the proper friction angle?



measurement of friction angle:  
 $\varphi = 36^\circ$

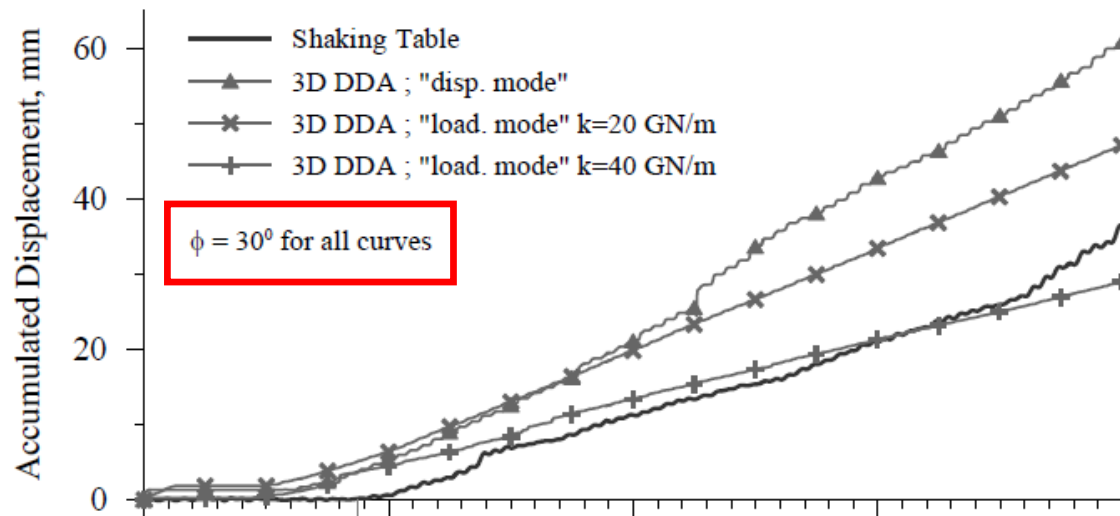


# DISCONTINUOUS DEFORMATION ANALYSIS



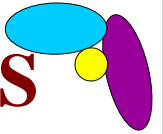
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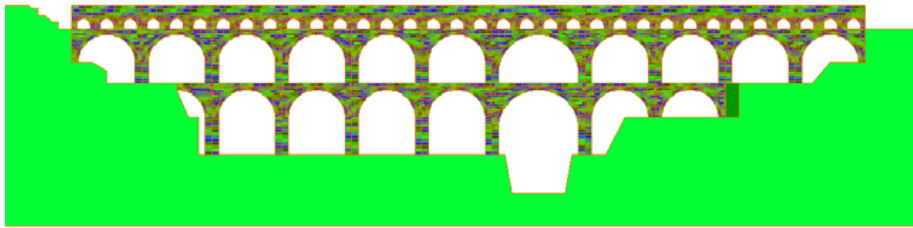


- ⇒ experience: „friction degradation” during dynamic sliding
- ⇒ importance of contact penalty stiffness

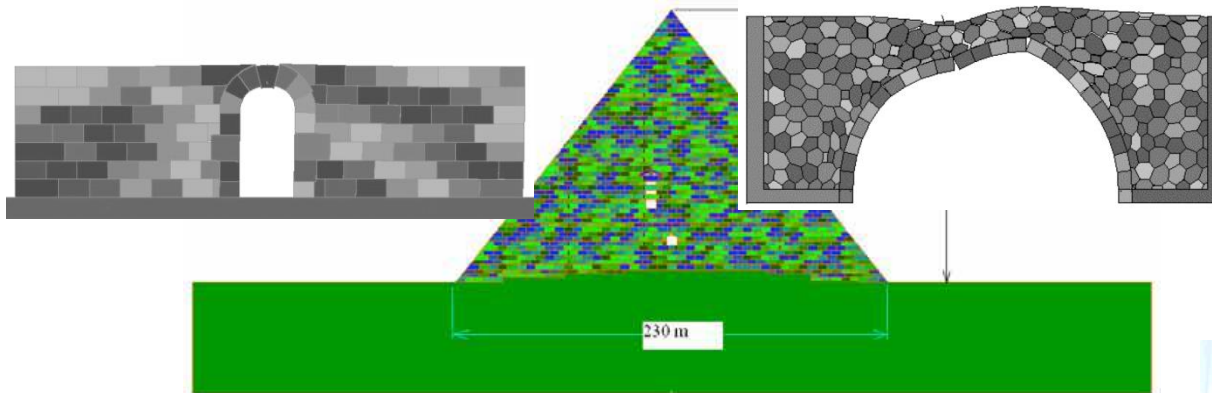
# DISCONTINUOUS DEFORMATION ANALYSIS



## Applications



arches, arch bridges



masonry structures

e.g. Fractured rock for earthquake and thermal effects



underground excavations



# DISCONTINUOUS DEFORMATION

Y.H.Hatzor, 1999-2004:  
Masada-hill, Israel (King Herod's Palace)



Herod the Great built his fortress a hundred kilometers away from Jerusalem, partly as a retreat, but more as a place of refuge for himself.

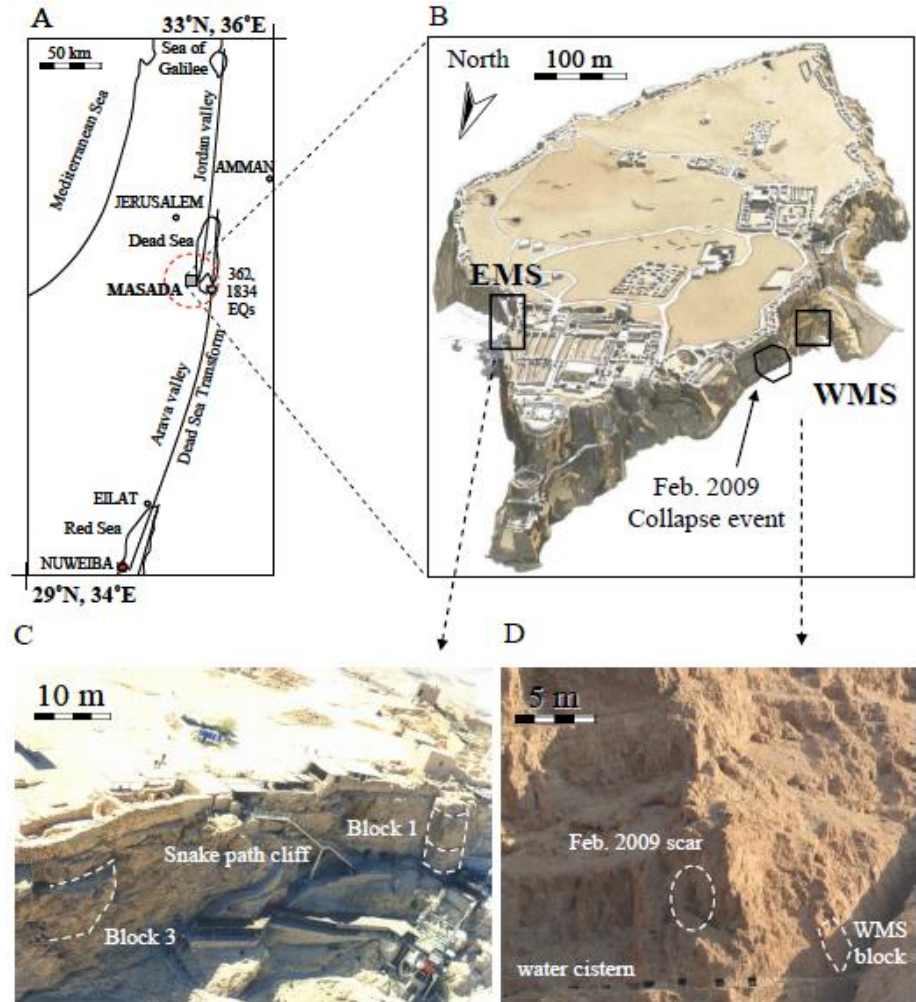
It happened at Masada seventy years after Herod's death that the Jewish Revolt against the Roman occupation was well underway. As the story goes, a last brave group of Jewish rebels fled Jerusalem to Masada, and withstood a two-year long siege by a 15,000-strong Roman Army. The crafty Romans finally built a ramp up the mountain slope.

On their last night in Masada before the Romans broke through, the 967 rebels opted for mass suicide rather than surrender.

„Masada must not fall again”

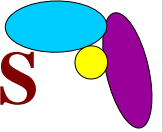
# DISCONTINUOUS DEFORMATION ANALYSIS

Y.H.Hatzor, 1999-2004:  
Masada-hill, Israel (King Herod's Palace)





# DISCONTINUOUS DEFORMATION ANALYSIS



Y.H.Hatzor, 1999-2004:

Masada-hill, Israel (King Herod's Palace)

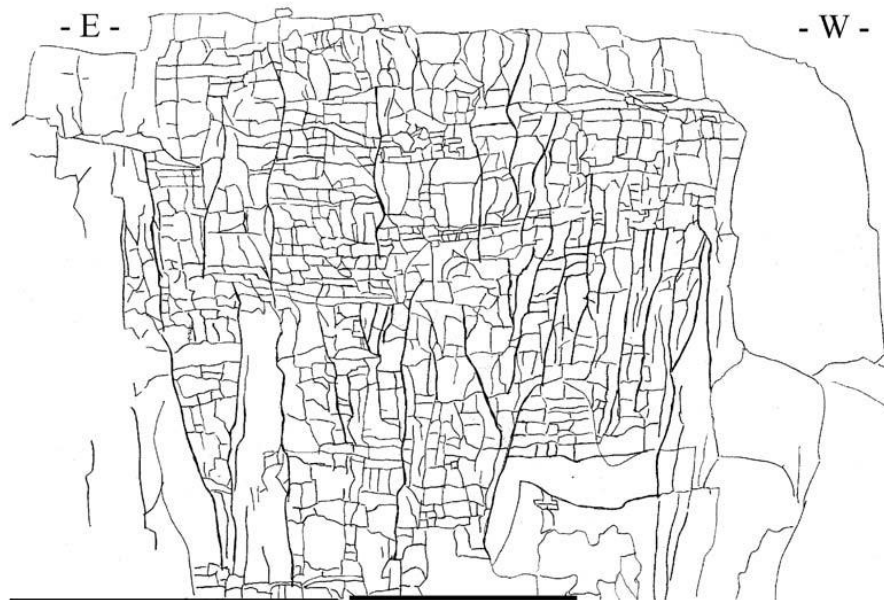
experience: huge blocks released, or already fallen out

the aim of the analysis: is it stable for an earthquake? „Masada must not fall again”

– DDA model, earthquake simulation;



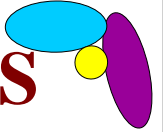
(A)



(B)

10 m

# DISCONTINUOUS DEFORMATION ANALYSIS



Y.H.Hatzor, 1999-2004:

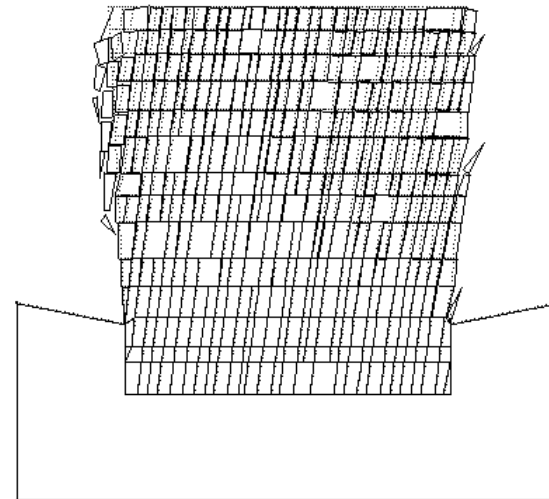
Masada-hill, Israel (King Herod's Palace)

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the aim of the analysis: is it stable for an earthquake? „Masada must not fall again”

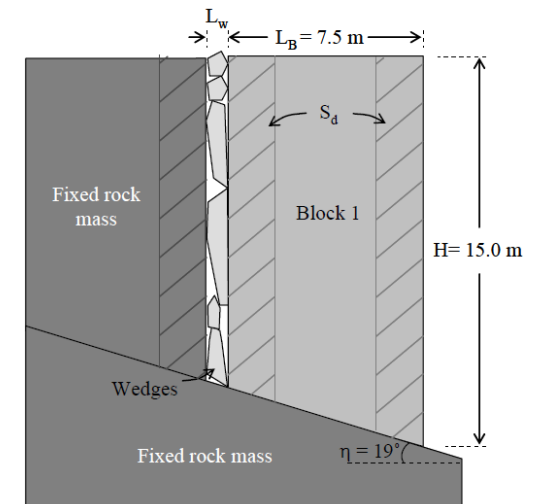
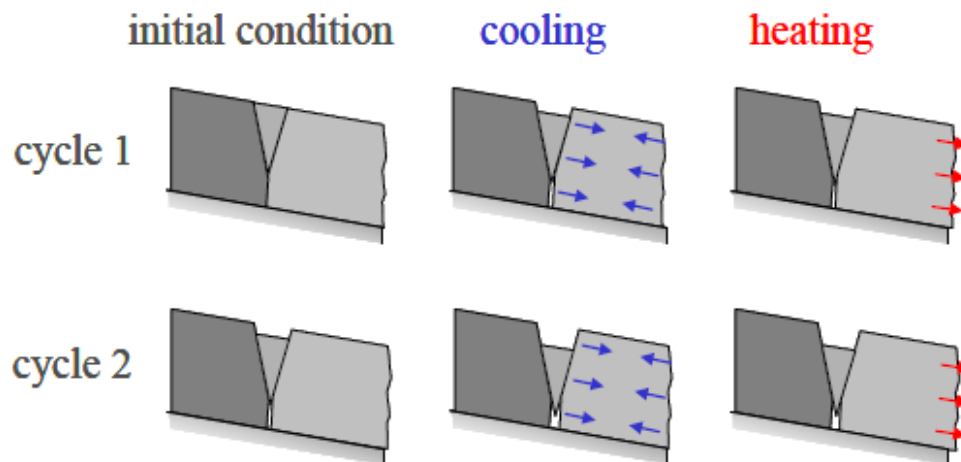
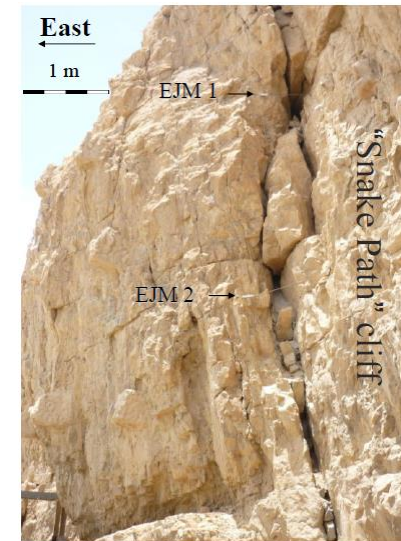
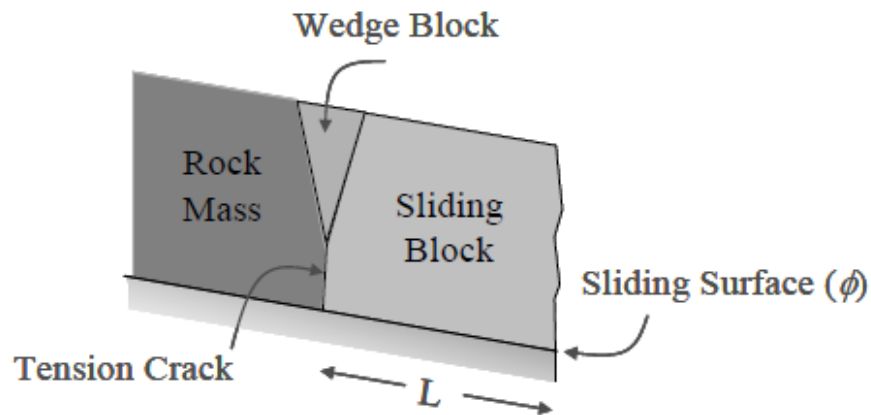
- DDA model, earthquake simulation;
- minor earthquake: considerable damages can be expected;
- 7,1 earthquake (1995, Sinai-desert): huge blocks would fall out

⇒ proposals for strengthening

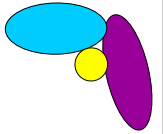


# DISCONTINUOUS DEFORMATION ANALYSIS

Mazor (2011): 3D investigations



# QUESTIONS



1. How the displacement vector of an element looks like in DDA? (i.e., what are the degrees of freedom of an element in DDA?)
2. Introduce the mechanical model of the contacts in DDA.
3. How does the equation of motion looks like in DDA? Write it, and tell the name of the terms in it.
4. What kind of time integration method is used in DDA? What values of the numerical control parameters is chosen in it, and what is the mechanical meaning of this choice?
5. Explain the meaning of the expression "open-close iteration" and how it is used in DDA.
6. Tell five differences between DDA and 3DEC.